

MEMORANDUM

State of Alaska

Department of Transportation & Public Facilities
Statewide Design & Engineering Services Division

TO: Distribution

DATE: September 15, 2009

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FROM: Roger Healy, P.E.
Chief Engineer

SUBJECT: Project Development
Authorities for ARRA
Funded FHWA Projects



Effective immediately, comply with the attached project development authority matrix for development of all FHWA authorized projects with American Recovery and Reinvestment Act (ARRA) funding. This authority matrix modifies the 8/24/99 Federal-Aid Project Oversight Agreement between the Federal Highway Administration and the Alaska Department of Transportation and Public Facilities.

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"Providing for the safe movement of people and goods and the delivery of state services."

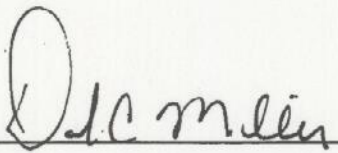
Amendment No. 1

FEDERAL-AID PROJECT OVERSIGHT RESPONSIBILITY AGREEMENT
BETWEEN THE FEDERAL HIGHWAY ADMINISTRATION (FHWA) AND
THE ALASKA DEPARTMENT OF TRANSPORTATION AND
PUBLIC FACILITIES (ADOT&PF).

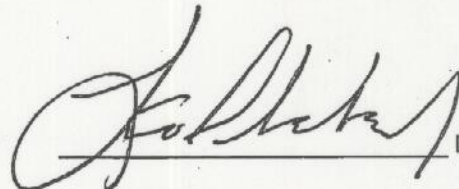
The American Recovery and Reconstruction Act of 2009 (ARRA) authorized federal funding of \$184,717,200.00 to the State of Alaska for use in restoring, repairing, constructing, and other activities eligible under paragraph (b) of Section 133 of Title 23, United States Code. In accordance with the Federal-Aid Project Oversight Responsibility Agreement between the FHWA-Alaska Division and the ADOT&PF dated August 24, 1999, this document amends the responsibilities between FHWA and ADOT&PF for ARRA funded projects only.

The FHWA and ADOT&PF shall develop procedures to implement responsibilities for ARRA funded projects under this amendment.

This amendment becomes effective as of July 15, 2009.

 Date: 7/16/09

David Miller
FHWA
Division Administrator

 Date: 7/22/09

Leo Von Scheben
ADOT&PF
Commissioner

**FHWA - State of Alaska DOT&PF American Recovery and Reconstruction Act of 2009
Project Oversight Agreement**

Category	Activity/Item	ARRA Projects	
		ADOT&PF Action	FHWA Action
FINANCE	<u>Project</u>		
	Authorities To Proceed (ATP)	Prepare	Approve
	<u>Program</u>		
	Financial Submittals (PR 37)	Prepare	Approve
ENVIRONMENT	<u>Project</u>		
	Programmatic Categorical Exclusion	Prepare, Approve	Information ¹
	Documented Categorical Exclusion (CE)	Prepare	Approve
	Environmental Assessment (EA)	Prepare	Approve
	Finding of No Significant Impact (FONSI)	Prepare	Approve
	Environmental Impact Statement (EIS)	Prepare	Approve
	Record of Decision (ROD)	Prepare	Approve
	Reassessment of Previous Determination	Prepare	Approve
RIGHT-OF-WAY	<u>Project</u>		
	Hardship Acquisitions and Protective Buying	Prepare	Approve
	Use of Right of Entry to obtain Possession	Prepare, Approve	Information
	ROW Plans	Prepare, Approve	Information
	ROW Certification	Prepare, Approve	Information
DESIGN	<u>Project</u>		
	Professional Services Agreement	Prepare, Approve	Information
	Design Project Status Report	Prepare	Information
	Alternative Contracting (SEP 14) Work Plan	Prepare	Approve
	Design/Build Projects	Prepare	Approve
	Waiver of Buy America Steel	Prepare	Approve
	Design Study Report	Prepare, Approve	Information ²
	Design Exceptions ²	Prepare, Approve	Information
	Public Interest Finding	Prepare, Approve	Information
	Life Cycle Cost Analysis	Prepare	Information
	Value Engineering Studies	Prepare, Approve	Information
	Geotechnical Reports	Prepare, Approve	Information
	Local Review Plans	Prepare	Information
	"Plans-in-Hand" Review Plans	Prepare	Information
	Utility Agreements	Prepare, Approve	Information
	Utility Certification	Prepare, Approve	Information
PS&E Review Assembly	Prepare	Information	

**FHWA - State of Alaska DOT&PF American Recovery and Reconstruction Act of 2009
Project Oversight Agreement**

Category	Activity/Item	ARRA Projects	
		ADOT&PF Action	FHWA Action
DESIGN	Authority to Advertise Memorandum (ATA)	Prepare, Approve	Information
	Addendum to Contract Documents	Prepare, Approve	Information
	Bid Tabs	Prepare, Approve	Information
	Request for Concurrence in Contract Award	Prepare, Approve	Concur ³
	Subcontract Approvals	Prepare, Approve	Information
	Conformed Contract and FHWA Certifications Checklist	Prepare, Approve	Information
	Letter of Award	Prepare, Approve	Information
CONSTRUCTION	<u>Project</u>		
	Construction Project Status Reports	Prepare	Information
	Project Construction Report	Prepare	Information
	Biweekly Report	Prepare	Information
	Submission of ARRA Reports	Prepare	Information
	Materials Certification for NHS Projects	Prepare, Approve	Information
	Designation Letters	Prepare, Approve	Information
	Interim Work Authorization	Prepare, Approve	Information
	Reimbursement for State Furnished Materials	Prepare	Approve
	Change Orders	Prepare, Approve	Information
	Supplemental Agreements	Prepare	Approve
	Final Estimate Assembly, Project History (full package)	Prepare, Approve	Information
	Final Inspection - Form FHWA 1446C	Prepare, Approve	Information
	Letter of Project Completion	Prepare, Approve	Information
	<u>Program</u>		
ARRA Reporting Forms	Prepare	Information	

¹ FHWA Information until execution of 6004 Agreement and ADOT&PF assumption of Programmatic Categorical Exclusions.

² Regional Preconstruction Engineer to Promptly Notify FHWA Area Engineer of Transmittal of Design Exceptions in the Design Study Report. Discussion encouraged.

³ Regional Contracts Officer forwards Bid Tabulation and Notice of Intent to Award to FHWA Area Engineer. Unless FHWA objects, concurrence is inferred after five working days.