

FEDERAL-AID PROJECT OVERSIGHT RESPONSIBILITY AGREEMENT
BETWEEN THE FEDERAL HIGHWAY ADMINISTRATION (FHWA) AND
THE ALASKA DEPARTMENT OF TRANSPORTATION AND
PUBLIC FACILITIES (ADOT&PF)

Section 106 of Title 23, United States Code was amended by the Transportation Equity Act for the 21st Century (TEA-21), Section 1305, Project Approval and Oversight. Amended Section 106 requires that the FHWA and the State shall enter into an agreement documenting those projects for which the State will assume the responsibilities of the FHWA. This agreement will replace Certification Acceptance and Exempt project procedures which were eliminated by the enactment of TEA-21.

For all projects that are eligible for Federal-aid, including projects on the National Highway System and projects not on the National Highway System, the ADOT&PF will assume the responsibilities of the FHWA under Title 23 for design, plans, specifications, estimates, right-of-way certification statements (part of PS&E), contract awards, and inspections/final acceptance of projects. This responsibility does not extend to other Federal actions required outside of Title 23 (for example NEPA). Further, the general requirements of Title 23, such as metropolitan and statewide planning, procurement of services or contracts, disadvantaged business enterprises, wage rates, etc., continue to apply to projects where the ADOT&PF has assumed the responsibilities noted.

The ADOT&PF shall develop procedures to implement responsibilities under this agreement. This agreement is effective from the date of signature and may be modified, if agreed to by both parties, as the need arises.

 Date 8/23/99
Stephen A. Moreno
FHWA
Division Administrator

 Date 8/24/99
Joseph L. Perkins
ADOT&PF
Commissioner

FHWA – State of Alaska DOT&PF Project Oversight Agreement

		NHS Projects		Non-NHS Projects	
		DOT&PF	FHWA	DOT&PF	FHWA
Safety	Proposed HSIP Project List	Prepare	Approve	Prepare	Approve
	HSIP Annual Report	Prepare	Approve	Prepare	Approve
	Annual Work Zone Traffic Control Report	Prepare	Approve	Prepare	Approve
	Annual Work Zone Accident Report	Prepare	Approve	Prepare	Approve
	Alaska Traffic Manual Supplement and updates	Prepare	Approve	Prepare	Approve
	Alaska Sign Design Specifications and updates	Prepare	Approve	Prepare	Approve
	ITS projects (regional architecture compatibility)	Prepare	Approve	Prepare	Approve
Finance	Financial Submittals (PR 37)	Prepare	Approve	Prepare	Approve
	Financial Procedures	Prepare	Approve	Prepare	Approve
	State Infrastructure Bank Reports	Prepare	Information	Prepare	
	ATP	Prepare	Approve	Prepare	Approve
Data	Bid Price Data form FHWA A-45, NHS Projects > \$500,000	Prepare	Information		
Environment	Programmatic Categorical Exclusion	Prepare, Approve	Concur	Prepare, Approve	Concur
	Documented Categorical Exclusion	Prepare	Approve	Prepare	Approve
	Environmental Assessment	Prepare	Approve	Prepare	Approve
	Finding of No Significant Impact (FONSI)	Prepare	Approve	Prepare	Approve
	Environmental Impact Statement	Prepare	Approve	Prepare	Approve
	Record of Decision	Prepare	Approve	Prepare	Approve
	Reassessment of Previous Determination	Prepare	Approve	Prepare	Approve

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Category	Activity/Item	NHS Projects		Non-NHS Projects	
		DOT&PF	FHWA	DOT&PF	FHWA
	Environmental Procedures Manual and updates	Prepare	Approve	Prepare	Approve
Right-of-Way	Non-Highway use of ROW/ Leases	Prepare	Approve	Prepare	Approve
	Disposal of ROW	Prepare, Approve	Information	Prepare, Approve	Information
	Disposal of access control	Prepare	Approve	Prepare	Approve
	Hardship and Protective Buying	Prepare	Approve	Prepare	Approve
	ROW Manual and updates	Prepare	Approve	Prepare	Approve
	Use of Right of Entry to obtain possession	Prepare, Approve	Information	Prepare, Approve	Information
	Annual ROW acquisition and Relocation Statistics Report	Prepare	Information	Prepare	Information
	ROW Plans	Prepare, Approve	Information	Prepare, Approve	Information
	ROW Certification	Prepare, Approve	Approve	Prepare, Approve	Information
	Use of fee negotiators/fee attorneys	Prepare, Approve		Prepare, Approve	
Design	New/revised standard drawings/specifications	Prepare	Approve	Prepare, Approve	Information
	SEP 14 Experimental Features work plan	Prepare	Approve	Prepare	Approve
	Waiver of Buy America Steel	Prepare	Approve	Prepare	Approve
	Preconstruction Manual and updates	Prepare	Approve	Prepare, Approve	Information
	Design Project Status Report	Prepare	Information	Prepare	Information
	Draft Design Study Report	Prepare		Prepare	
	Design Study Report	Prepare, Approve	Information	Prepare, Approve	
	Design Exceptions	Prepare, Approve	Information	Prepare, Approve	
	Hydraulics Manual and updates	Prepare	Approve	Prepare, Approve	Information

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		DOT&PF	FHWA	DOT&PF	FHWA
Design	Bid Tabs	Prepare, Approve	Information	Prepare, Approve	
	Public Interest Finding	Prepare, Approve	Information	Prepare, Approve	
	PS&E Review Assembly	Prepare		Prepare	
	Authority to Advertise memorandum	Prepare, Approve		Prepare, Approve	
	Professional Services Agreement	Prepare, Approve		Prepare, Approve	
	Geotechnical Manual and updates	Prepare	Approve	Prepare, Approve	Information
	Geotechnical Reports	Prepare, Approve		Prepare, Approve	
	Local review plans	Prepare		Prepare	
	“Plans-in-Hand” review plans	Prepare		Prepare	
	Utility Agreements	Prepare, Approve		Prepare, Approve	
	Addendum to Contract Documents	Prepare, Approve		Prepare, Approve	
	Utility Certification	Prepare, Approve		Prepare, Approve	
	Professional Services Agreement Procedures and updates	Prepare, Approve	Information	Prepare, Approve	Information
	Design/Build projects	Prepare	Approve	Prepare	Approve
	Toll Facilities/agreements	Prepare	Approve	Prepare	Approve
	Major Structures and Tunnels	Prepare, Approve	Information	Prepare, Approve	Information
Life Cycle Cost Analysis and Value Engineering	Prepare	Information	Prepare		
Reimbursement for State Furnished Materials	Prepare	Approve	Prepare	Approve	
Utilities Manual and updates	Prepare	Approve	Prepare, Approve	Information	
Value Engineering Studies	Prepare, Approve		Prepare, Approve		

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		DOT&PF	FHWA	DOT&PF	FHWA
Construction.	Conformed Contract and FHWA certifications checklist	Prepare, Approve	Information	Prepare, Approve	Information
	Request for concurrence in contract award	Prepare, Approve		Prepare, Approve	
	Supplemental Agreements	Prepare	Approve	Prepare	Approve
	Form FHWA 1446C	Prepare, Approve	Information	Prepare, Approve	Information
	Construction Manual and updates	Prepare	Approve	Prepare, Approve	Information
	Construction Project Status Reports	Prepare	Information	Prepare	Information
	Statements of Materials and Labor, form FHWA-47, NHS > \$1,000,000	Prepare	Information		
	Final Estimate Assembly, project history (full package)	Prepare, Approve	Information	Prepare, Approve	
	Internal memorandum (DOT&PF)	Prepare, Approve		Prepare, Approve	
	Directive	Prepare, Approve		Prepare, Approve	
	Biweekly Report	Prepare		Prepare	
	Project Construction Report	Prepare	Information	Prepare	
	Progress Estimate	Prepare		Prepare	
	Letter of Award	Prepare, Approve	Information	Prepare, Approve	Information
	Designation Letters	Prepare, Approve	Information	Prepare, Approve	
	Subcontract Approvals	Prepare, Approve		Prepare, Approve	
	Change Orders	Prepare, Approve		Prepare, Approve	
Interim Work Authorization	Prepare, Approve		Prepare, Approve		
Letter of Project Completion	Prepare, Approve	Information	Prepare, Approve	Information	
Materials Certification for NHS projects	Prepare, Approve	Information			
Alaska Test Methods and updates	Prepare	Approve	Prepare, Approve	Information	