

PROGRAMMATIC CATEGORICAL EXCLUSIONS
For Use on Federal Aid Highway Program Projects Authorized
Under 23 U.S.C. 326

Superseded by Nov. 13, 2017 CE Directive

December 2015

The DOT&PF Regional Environmental Manager (REM) is authorized to determine whether a project qualifies for a Categorical Exclusion (CE) specifically listed in 23 CFR 771.117(c) or (d). The REM may complete a project review and make a CE approval only for projects that meet the terms of the CE assignment MOU under 23 USC 326 (6004 Program) and do not exceed the conditions listed below.

Distinct types of projects are authorized by each Programmatic Approval (Approval):

- Programmatic Approval 1 – certain projects processed under 23 CFR 771.117(c)
- Programmatic Approval 2 – certain projects processed under 23 CFR 771.117(c) or (d)
- Programmatic Approval 3 – certain Right of Way actions under 23 CFR 771.117(d)(6)

The REMs may only review and approve projects that meet the conditions specified in the applicable Approval and meet each of the General Programmatic Approval Conditions.

General Programmatic Approval Conditions:

- a. No significant environmental impacts as described in 23 CFR 771.117(a)
- b. No unusual circumstances as described in 23 CFR 771.117(b)
- c. No addition of through-lanes
- d. No more than minor amounts of right of way are acquired (no acquisition of an entire parcel, or no more than 25 partial parcels)
- e. No acquisitions that result in residential or business displacements
- f. No change in access control
- g. No determination of adverse effect under Section 106 of the National Historic Preservation Act
- h. No use of a property protected by Section 4(f), 49 USC. 303
- i. No conversion of use of a property protected by Section 6(f) of the Land and Water Conservation Fund Act, the Federal Aid in Fish Restoration Act, the Federal Aid in Wildlife Restoration Act, or other unique areas or special lands that were acquired in fee or easement with public-use money and have deed restrictions or covenants on the property
- j. No action out of conformity to the State Implementation Plan in air quality non-attainment areas
- k. No construction in, across, or adjacent to a river designated as a component of the National System of Wild and Scenic Rivers
- l. No actions involving more than 10 acres of U.S. Army Corps of Engineers jurisdictional wetlands
- m. No actions requiring an individual U.S. Coast Guard bridge permit

- n. No actions encroaching on a regulatory floodway or work affecting the base floodplain (100 year flood) elevation of a watercourse or lake, pursuant to Executive Order 11988 and 23 CFR §650 subpart A
- o. No actions defined as a “Type I project” per 23 CFR 772.5
- p. No actions with a “may affect, likely to adversely affect” determination for federally listed or candidate species, or proposed or designated critical habitat
- q. No actions that may adversely affect Essential Fish Habitat
- r. No actions that may adversely affect marine mammals
- s. No acquisition of property involving a documented hazardous material site

The DOT&PF REM shall make the project specific approval and provide the Statewide Environmental Office a copy of signed Programmatic Categorical Exclusions (PCEs) in accordance with the DOT&PF categorical exclusion procedures in the *DOT&PF Environmental Procedures Manual – 6004 Program*.

The DOT&PF REM shall also specify the applicable Approval and certify on the “*Project Information Document*” that the proposed action meets all requirements of the applicable Approval.

Approval of actions under 23 CFR 771.117(c)(26), (c)(27), and (c)(28) also require documentation that the conditions in 23 CFR 771.117(e) are met.

Programmatic Approval 1

This Approval is only applicable to activities identified in 23 CFR 771.117(c). Projects processed under this Approval require the preparation and approval of an Expedited CE Documentation Form.

In addition to the General Programmatic Approval Conditions, projects processed under this Approval must meet the following conditions:

1. No acquisition of right of way
2. No projects that require an U.S. Army Corps of Engineers Individual Permit, General Permit, or Nationwide Permit 23
3. No work involving federally listed or candidate species, or proposed or designated critical habitat
4. No work involving marine mammals
5. No documented risk of encountering hazardous waste or contaminated sites

If the action does not meet all of the above conditions, Programmatic Approval 1 **does not** apply, and completion of a CE Documentation Form is required before the activity can be approved as a “c” list CE.

Programmatic Approval 2

This Approval is applicable to:

- Activities identified in 23 CFR 771.117(c) that cannot be processed under Programmatic Approval 1; and
- Activities identified in 23 CFR 771.117(d), except those activities identified in 23 CFR 771.117(d)(6) which can be processed under Programmatic Approval 3.

Projects processed under Programmatic Approval 2 require preparation and approval of a CE Documentation Form and must meet all General Programmatic Approval Conditions.

Programmatic Approval 3

Consistent with 23 CFR 771.117(d)(6), the DOT&PF REM may approve certain proposals for the disposal of excess right of way or for the joint or limited use of right of way. This approval may only occur where the proposed use does not have significant adverse impacts.

In addition to the General Programmatic Approval Conditions, the following conditions must be met for CE approvals for Right of Way actions under 23 CFR 771.117(d)(6):

- a. No U.S. Corps of Engineers jurisdictional wetlands involvement
- b. No disposal of property involving a documented hazardous material site
- c. No effect on federally listed or candidate species, or proposed or designated critical habitat

The DOT&PF REM must certify on DOT&PF encroachment form #25AR968 that a proposed action requested under an encroachment permit application falls within the bounds of Programmatic Approval 3.