

ALASKA UNIFIED CERTIFICATION PROGRAM (AUCP)
Disadvantaged Business Enterprise (DBE)
Airport Concession Disadvantaged Business Enterprise (ACDBE)

Alaska Native Corporation (ANC) Application Checklist
For ANCs that are Applying under the Special Rules 49 CFR 26.73(i)

Please provide the following:

- Page 5 of the Uniform Certification Application
- By-laws and amendments of the underlying ANC (Parent Company)
- Operating Agreement & Amendments (LLC Subsidiary)
- Corporate Organizational Chart
- Appendix A and B [Required for On-site Representative(s)]
- Work Category Checklist
- Copy of Professional License (if applicable)
- Proof of Small Business Administration (SBA) 8(a) Certification, or one of the following:
 1. Documentation that the ANC firm has been certified by the SBA as a Small Disadvantaged Business (SDB) within three years of the date it self-certifies as an SDB
 2. Documentation that the ANC firm has received certification from another Federal procuring agency that it qualifies as an SDB
 3. Documentation that the ANC firm has submitted an application for SDB certification to a Federal procuring agency and has not received a negative determination regarding that application
 4. Correspondence from the SBA, pursuant to 13 CFR 121.1001(b)(6), that the ANC firm meets the SBA's applicable size standard for participation in the SBA SDB program
 5. Correspondence from the SBA, pursuant to 13 CFR 121.1001(b)(7), that the ANC firm meets the SBA's applicable size standard for participation in the SBA SDB program

49 CFR 26.73(i)

What are other rules affecting certification?

(i) The following special rules apply to the certification of firms related to Alaska Native Corporations (ANCs).

(1) Notwithstanding any other provisions of this subpart, a direct or indirect subsidiary corporation, joint venture, or partnership entity of an ANC is eligible for certification as a DBE if it meets all of the following requirements:

(i) The Settlement Common Stock of the underlying ANC and other stock of the ANC held by holders of the Settlement Common Stock and by Natives and descendants of Natives represents a majority of both the total equity of the ANC and the total voting power of the corporation for purposes of electing directors;

(ii) The shares of stock or other units of common ownership interest in the subsidiary, joint venture, or partnership entity held by the ANC and by holders of its Settlement Common Stock represent a majority of both the total equity of the entity and the total voting power of the entity for the purpose of electing directors, the general partner, or principal officers; and

(iii) The subsidiary, joint venture, or partnership entity has been certified by the Small Business Administration under the 8(a) or small disadvantaged business program.

(2) As a recipient to whom an ANC-related entity applies for certification, you do not use the DOT uniform application form (see Appendix F of this part). You must obtain from the firm documentation sufficient to demonstrate that entity meets the requirements of paragraph (i)(1) of this section. You must also obtain sufficient information about the firm to allow you to administer your program (e.g., information that would appear in your DBE Directory).

(3) If an ANC-related firm does not meet all the conditions of paragraph (i)(1) of this section, then it must meet the requirements of paragraph (h) of this section in order to be certified, on the same basis as firms owned by Indian Tribes or Native Hawaiian Organizations.